



2675  
#13  
V.24  
03-20-03

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |  |                        |                  |
|--|--|------------------------|------------------|
|  |  | Application No.        | 09/823,448       |
|  |  | Filing Date            | March 30, 2001   |
|  |  | First Named Inventor   | Fred Parker      |
|  |  | Group Art Unit         | 2675             |
|  |  | Examiner Name          | Michael J. Moyer |
| Total Number of Pages in This Submission |  | Attorney Docket Number | 4589P010         |

### ENCLOSURES (check all that apply)

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input checked="" type="checkbox"/> PTO/SB/08<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><div style="border: 1px solid black; padding: 5px; width: fit-content;">           - 1 Cited Reference<br/>           - Copy of Written Opinion<br/>           - Return Receipt Postcard         </div> |
|   |  | Remarks  |

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Paul A. Mendonsa, Reg. No. 42,879<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature               |   |
| Date                    | March 6, 2003   |

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: **March 6, 2003**

|                       |                   |      |               |
|-----------------------|-------------------|------|---------------|
| Typed or printed name | Deborah L. Higham |      |               |
| Signature             |                   | Date | March 6, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**TRADEMA  
R**  
**EE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT**      **(\$)**      **0.00**

**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/823,448       |
| Filing Date          | March 30, 2001   |
| First Named Inventor | Fred Parker      |
| Examiner Name        | Michael J. Moyer |
| Group/Art Unit       | 2675             |
| Attorney Docket No.  | 4589P010         |

**METHOD OF PAYMENT** (check one)

Check     Credit card     Money Order     Other     None  
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        |          | Small Entity |          | Fee Paid               |
|---------------------|----------|--------------|----------|------------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                        |
| 1001                | 750      | 2001         | 375      | Utility filing fee     |
| 1002                | 330      | 2002         | 165      | Design filing fee      |
| 1003                | 520      | 2003         | 260      | Plant filing fee       |
| 1004                | 750      | 2004         | 375      | Reissue filing fee     |
| 1005                | 160      | 2005         | 80       | Provisional filing fee |
| <b>SUBTOTAL (1)</b> |          | (\$)         |          |                        |

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 39                 | 43* = 0      | X 18.00 =      | \$0.00   |
| 5                  | 6* = 0       | X 84.00 =      | \$0.00   |
| Multiple Dependent |              |                |          |

| Large Entity        |          | Small Entity |          | Fee Description   |
|---------------------|----------|--------------|----------|---|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |   |
| 1202                | 18       | 2202         | 9        | Claims in excess of 20                                    |
| 1201                | 84       | 2201         | 42       | Independent claims in excess of 3                         |
| 1203                | 280      | 2203         | 140      | Multiple Dependent claim, if not paid                     |
| 1204                | 84       | 2204         | 42       | **Reissue independent claims over original patent         |
| 1205                | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |          | (\$)         |          |   |

\*or number previously paid, if greater. For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity              | Fee Code | Fee (\$) | Small Entity | Fee Code   | Fee (\$) | Fee Description | Fee Paid |
|---------------------------|----------|----------|--------------|--|----------|-----------------|----------|
| 1051                      | 130      | 2051     | 65           | Surcharge - late filing fee or oath  |          |                 |          |
| 1052                      | 50       | 2052     | 25           | Surcharge - late provisional filing fee or cover sheet.                    |          |                 |          |
| 2053                      | 130      | 2053     | 130          | Non-English specification  |          |                 |          |
| 1812                      | 2,520    | 1812     | 2,520        | For filing a request for ex parte reexamination                            |          |                 |          |
| 1804                      | 920*     | 1804     | 920*         | Requesting publication of SIR prior to Examiner action                     |          |                 |          |
| 1805                      | 1,840*   | 1805     | 1,840*       | Requesting publication of SIR after Examiner action                        |          |                 |          |
| 1251                      | 110      | 2251     | 55           | Extension for reply within first month                                     |          |                 |          |
| 1252                      | 410      | 2252     | 205          | Extension for reply within second month                                    |          |                 |          |
| 1253                      | 930      | 2253     | 465          | Extension for reply within third month                                     |          |                 |          |
| 1254                      | 1,450    | 2254     | 725          | Extension for reply within fourth month                                    |          |                 |          |
| 1255                      | 1,970    | 2255     | 985          | Extension for reply within fifth month                                     |          |                 |          |
| 1404                      | 320      | 2401     | 160          | Notice of Appeal   |          |                 |          |
| 1402                      | 320      | 2402     | 160          | Filing a brief in support of an appeal                                     |          |                 |          |
| 1403                      | 280      | 2403     | 140          | Request for oral hearing   |          |                 |          |
| 1451                      | 1,510    | 2451     | 1,510        | Petition to institute a public use proceeding                              |          |                 |          |
| 1452                      | 110      | 2452     | 55           | Petition to revive - unavoidable   |          |                 |          |
| 1453                      | 1,300    | 2453     | 650          | Petition to revive - unintentional   |          |                 |          |
| 1501                      | 1,300    | 2501     | 650          | Utility issue fee (or reissue)   |          |                 |          |
| 1502                      | 470      | 2502     | 235          | Design issue fee   |          |                 |          |
| 1503                      | 630      | 2503     | 315          | Plant issue fee  |          |                 |          |
| 1460                      | 130      | 2460     | 130          | Petitions to the Commissioner  |          |                 |          |
| 1807                      | 50       | 1807     | 50           | Prospecting fee under 37 CFR 1.17(q)                                       |          |                 |          |
| 1806                      | 180      | 1806     | 180          | Submission of Information Disclosure Stmt                                  |          |                 |          |
| 8021                      | 40       | 8021     | 40           | Recording each patent assignment per property (times number of properties) |          |                 |          |
| 1809                      | 750      | 1809     | 375          | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |                 |          |
| 1810                      | 750      | 2810     | 375          | For each additional invention to be examined (37 CFR § 1.129(b))           |          |                 |          |
| 1801                      | 750      | 2801     | 375          | Request for Continued Examination (RCE)                                    |          |                 |          |
| 1802                      | 900      | 1802     | 900          | Request for expedited examination of a design application                  |          |                 |          |
| Other fee (specify) _____ |          |          |              |  |          |                 |          |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

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**SUBMITTED BY****Complete (if applicable)**

|                   |   |                                   |        |           |                |
|-------------------|---|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Paul A. Mendonsa  | Registration No. (Attorney/Agent) | 42,879 | Telephone | (503) 684-6200 |
| Signature         |  |                                   |        | Date      | 03/06/03       |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



Docket No.: 4589P010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

FRED PARKER

Application No.: 09/823,448

Filed: March 30, 2001

For: **METHOD AND APPARATUS FOR  
DRIVING LED LIGHT SOURCES FOR  
A PROJECTION DISPLAY**

Art Group: 2675

Examiner: Michael J. Moyer

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a communication from a foreign patent office on February 24, 2003, in a counterpart PCT application, which was forwarded to Applicant's Representative in a communication dated February 24, 2003.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 06, 2003

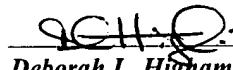


Paul A. Mendonisa, Reg. No. 42,879

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March 06, 2003



Deborah L. Higham

03-06-03

*Date*